

T/14709

Certificate Number:

0091



INTERNATIONAL SHIP SECURITY CERTIFICATE

Issued under the provisions of the
INTERNATIONAL CODE FOR THE SECURITY OF SHIPS AND OF PORT FACILITIES (ISPS CODE)
Under the Authority of the Government of the United Kingdom of Great Britain
and Northern Ireland by the Maritime and Coastguard Agency,
an Executive Agency of the Department for Transport

P. Russell
10.5.04

PARTICULARS OF SHIP

Name of Ship	M.V SANDRA AZUL
Distinctive Number or Letters	VQCW8
Port of Registry	LONDON
Type of Ship	OTHER CARGO SHIP
Gross Tonnage	60117
IMO Number	9073995

THIS IS TO CERTIFY

1. that the security system and any associated security equipment of the ship has been verified in accordance with the requirements of section 19.1 of part A of the ISPS Code; In approving the Ship Security Plan and verification, account was also taken of the guidance in part B of the ISPS Code.
2. that the verification showed that the security system and any associated security equipment of the ship is in all respects satisfactory and that the ship complies with the applicable requirements of chapter XI-2 of the Convention and part A of the ISPS Code;
3. that the ship is provided with an approved Ship Security Plan.

Date of Initial / ~~renewal~~ verification on which this certificate is based

29 March 2004

This Certificate is valid until

1 July 2006

subject to verifications in accordance with

section 19.1.1 of part A of the ISPS Code.

Place of Issue

Southampton M.O.

(Place of issue of the Certificate)

Signed

(Signature of duly authorised official
issuing the Certificate)

Date of Issue

27 April 2004

Name

S ROBERTS



Name of Ship **M.V SANDRA AZUL**

ENDORSEMENTS

Endorsement for Intermediate Verification

THIS IS TO CERTIFY that at an intermediate verification required by section 19.1.1 of part A of the ISPS Code the ship was found to comply with the relevant provisions of chapter XI-2 of the Convention and part A of the ISPS Code.

Place	<input type="text"/>	Signed	<input type="text"/>
			(Signature of authorised official)
Date	<input type="text"/>	Name	<input type="text"/>

Additional Verification in accordance with section A/19.3.7.2 of the ISPS Code

THIS IS TO CERTIFY that at an additional verification required by section 19.3.7.2 of part A of the ISPS Code, the ship was found to comply with the relevant provisions of chapter XI-2 of the Convention and part A of the ISPS Code.

Place	<input type="text"/>	Signed	<input type="text"/>
			(Signature of authorised official)
Date	<input type="text"/>	Name	<input type="text"/>

Endorsement to extend the certificate if valid for less than 5 years where section A/19.3.3 of the ISPS Code applies

The ship complies with the relevant provisions of part A of the ISPS Code and the Certificate shall, in accordance with section 19.3.3 of part A of the ISPS Code, be accepted as valid until

Place	<input type="text"/>	Signed	<input type="text"/>
			(Signature of authorised official)
Date	<input type="text"/>	Name	<input type="text"/>

Endorsement where the renewal verification has been completed and section A/19.3.4 of the ISPS Code applies

The ship complies with the relevant provisions of part A of the ISPS Code and the Certificate shall, in accordance with section 19.3.4 of part A of the ISPS Code, be accepted as valid until

Place	<input type="text"/>	Signed	<input type="text"/>
			(Signature of authorised official)
Date	<input type="text"/>	Name	<input type="text"/>

Endorsement to extend the validity of the certificate until reaching the port of verification where section A/19.3.5 of the ISPS Code applies or for a period of grace where section A/19.3.6 of the ISPS Code applies

The Certificate shall, in accordance with section A/19.3.5 / A/19.3.6 * of part A of the ISPS Code, be accepted as valid until

Place	<input type="text"/>	Signed	<input type="text"/>
			(Signature of authorised official)
Date	<input type="text"/>	Name	<input type="text"/>

Endorsement for advancement of expiry date where section A/19.3.7.1 of the ISPS Code applies

In accordance with section 19.3.7.1 of part A of the ISPS Code, the new expiry date ** is

Place	<input type="text"/>	Signed	<input type="text"/>
			(Signature of authorised official)
Date	<input type="text"/>	Name	<input type="text"/>

* delete as appropriate

** In case of completion of this part of the certificate, the expiry date shown at the front of the Certificate shall also be amended accordingly



Maritime and Coastguard Agency

MARITIME AND COASTGUARD AGENCY

ISPS CODE

INTERNATIONAL SHIP SECURITY CERTIFICATE AUDIT REPORT

Ship Name & Location:

SANDRA AZUL. LA SPEZIA

File Number:

CM54309/027/0009

Type of Audit:

Initial Verification

Audit Date:

29/3/04

Auditor:

Tim Gurr

Ship Type:

Container Ship

Notes for Completion

This Audit Report pro-forma is to be completed by the attending auditor on the occasion of each Ship Security Certificate audit. Copies of non-conformity notes are to be attached.

The "Remarks" column on the following pages must contain observations on the effectiveness of the Security System in meeting each of the requirements of the ISPS Code.

ISPS Code Requirement	Non Conformity?		Remarks
	Yes	No	
A 8 Ship Security Plan and Ship A 9 Security Assessment		X	SSP on board since early February 2004. Stamped by R Snape 10/1/04.
A 6 Company Responsibilities and Authority Master's Responsibility and Authority Security Policy		X	Capt on board is also the SSO having undertaken the SSO course in Bulgaria at Bulgarian Maritime Training on 30/10/03 certificate number 838702ZB. Captain had complete knowledge of his responsibilities and authority.
A 11 Designated Person(s) A 12 Company Security Officer Ship Security Officer		X	CSO Mr Paul Shields is also the Designated Person. Captain is SSO (see above). Identity of these people is well known among the crew and DPA details are publicised by posters around the vessel.
A 13 Resources and Personnel Training Drills and exercises		X	Familiarisation training for the entire crew was held on 11/3/04. Last security drill was held 26/3/04 and is recorded in the Drill Log.
A 7 Development of Plans for Shipboard Operations: Access Control Restricted Areas Handling of Cargo Delivery of Stores Handling Unaccompanied Baggage Monitoring the Security of the ship		X	Gangway control is maintained with a Log and Passes. All restricted areas are secured by padlocks. Cargo operations on a container vessel are by nature difficult to maintain by on this vessel there are sufficient controls.

SHIP SECURITY CERTIFICATE AUDIT REPORT

ISPS Code Requirement	Non Conformity?		Remarks
	Yes	No	
A 7 Emergency Preparedness Response to threats Changes in Security Levels		X	Satisfactory
A 10 Documentation Records of: Declaration of security Last 10 ports of call		X	No Declarations of Security have been completed yet. Procedures for DoS are well known.
A 11 Company Verification, A 12 Review and Evaluation Reports and Analysis of Non-Conformities, Breaches of security		X	There was an incident on board with the Italian Customs Officers refusing to identify themselves or sign the visitors log and should have been refused access. It is recognised that to refuse these Officers access to the vessel would cause considerable problems for the Master. Having discussed with the Master it is suggested that, in the future, the crew member on the gangway complete the log recording the number of Officers and their arrival time and that these Officers are escorted throughout their time on board. It is also suggested that this incident be reported throughout the Zodiac fleet as it is understood that this was not an isolated occurrence. It was also noted that the crew behaved with courtesy and confidence with these Officers and the incident does in no way reflect poorly against the vessel or crew. This incident will be reported to MCA HQ and the matter will be taken to IMO.
A 19 Certification, Verification and Control		X	Satisfactory

SHIP SECURITY CERTIFICATE AUDIT REPORT

Comments:

This Initial ISPS audit was carried out on board while the vessel was at the port of La Spezia Italy. An opening meeting was held with the Master and other Officers. The audit was conducted by Lead Auditor Tim Gurr. The auditor was able to interview a percentage of the crew, a representative sample of the ship's officers and crew (25% approx). The officers demonstrated a sound understanding of the on board security system and plan (SSP), whilst the crew members showed a satisfactory level of knowledge.

Objective evidence was available demonstrating continuing implementation of the Company's security policy and the SSP on board. An internal audit of the system had not been carried out but is due to be done in August 04.

Non Conformities/Observations/Deficiencies identified:-

- 1 Automatic Identification System not fitted on board – not required until first radio survey after 01 July 2004, but no later than 31 December 2004
- 2 Ship Security Alert System not fitted on board – not required until first radio survey after 01 July 2006
- 3 Continuous Synopsis Record not on board – not yet supplied by MCA, to be on board no later than 01 July 2004.

Consideration was given to the size of the vessel, its area of operation, number of crew and cargo capability. When auditing the security procedures, the Observations, as described above, were found. However it is not a requirement to have these items on board as of today and will be completed by the appropriate dates.

The audit examined random samples of the on board security system according to the SSP. It should not therefore be assumed that where no non-conformities were identified by the auditors, none actually existed, or where identified that these were the only ones present.

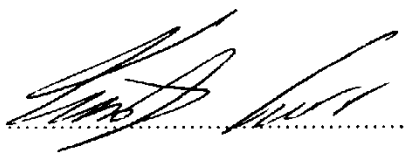
In conclusion the security system on MV Sandra Azul is active and satisfactory. The Company, Master and crew demonstrated a commitment to the SSP. The NCNs/Observations/Deficiencies raised by the auditors are intended to improve the system.

Issue of a full term International Ship Security Certificate is recommended, with validity to be harmonised in line with existing International Safety Management (ISM) Safety Management Certificate

As auditing is a sampling process, it does not necessarily imply that non-conformities do not exist in areas where none have been identified.

Name: TIMOTHY GURR

Date: 27/6/04

Signed: 

Stamp:

